Business continuity test of the PUMA Trading System electronic platform

Intended for segment participants: Listed.

Summary: On November 1, 2025, we will hold a business continuity test of the PUMA Trading System electronic platform.

On **November 1, 2025** (Saturday), B3 will hold a business continuity test for the PUMA Trading System electronic platform, through a mock trading session in the contingency environment. The purpose of the test will be to validate:

- connectivity between the brokerage houses' primary site infrastructure and B3's backup infrastructure
- execution of the mandatory test script at B3's alternative site

It is essential that the following connection addresses between B3's servers in contingency and the participants be properly configured and released:

- EntryPoint Order Entry FIX – IPs List, Production – Connectivity data
- Binary EntryPoint Order – IPs List, Production and DR – SBEOE Gateway
- FIX/FAST UMDF – Definition of multicast addresses of the UMDF channels – BM&FBOVESPA Segment
- Binary UMDF – Definition of the multicast addresses of the Binary UMDF channels

The connectivity tables are available at PUMA Trading System | B3.

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The complete window of the test is scheduled to take place between **1:00 p.m. and 3:00 p.m**.

Participation by brokerage houses, vendors and independent software providers that operate in Listed B3 **is mandatory**.

Participants shall access the environment through the connectivity sessions or the trading screens that they currently use (ePUMA or proprietary solution).

1. **Mock trading sesion timetable**

Starts	Ends	Phase
1:00 p.m.	2:00 p.m.	Roll call and connectivity test in the **contingency environment**
2:00 p.m.	2:05 p.m.	Pre-opening in the **contingency environment**
2:05 p.m.	2:40 p.m.	Trading – mandatory script in the **contingency environment**
2:40 p.m.	3:00 p.m.	Free trading in the **contingency environment**

2. Scope of the mock trading session

The mock trading session will cover the following:

- Reception of market data
- Trading (order entry and trade execution) in accordance with the mandatory script
- Free mock trading (order entry and trade execution)
- Order cancellation via admin session
- Validation of trading limits (LiNe 5.0), using the limits in effect at the end of the trading session on October 31, 2025 (Friday)
- Management of trading limits (LiNe 5.0)
- Reception of execution messages generated by trading systems

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- Reception of order registration, modification and cancellation message drop copies and execution reports
- Use of Firmsoft order cancellation software
- Reception of electronic trading news
- Interaction with B3 as counterparty or to facilitate execution of a specific mock trading scenario desired by participants

The scope of the mock trading session will **not cover** the following:

- The use of post-trade systems, as well as settlement processing and clearing and settlement facilities' risk and margin systems
- UMDF PUMA 2.0 (FIX/FAST) protocol: Feed A and Feed B
- UMDF PUMA 2.0 (Binary) protocol: Feed A and Feed B
- Use of the SINACOR system
- Production and delivery of mock trading session reports and files
- Good Till orders entered.

3. **Interfaces to be tested in mock trading session under contingency**

Listed B3

- Order entry and cancellation interfaces certified for:

    - FIX EntryPoint protocol

    - Binary EntryPoint protocol

    - Drop Copy

    - Admin session

- Market data feed interfaces certified for:

    - FIX/FAST UMDF PUMA Conflated protocol

    - FIX/FAST UMDF PUMA Conflated 2.0 protocol: Feed C

    - PUMA Binary UMDF protocol: Feed C

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- Trading screens:

  - ePUMA

  - proprietary solutions developed by independent technology providers or participants

4. Morning test of the electronic trading system

Because the mock trading session will use B3's contingency addresses and infrastructure, the morning test on **November 3, 2025** (Monday) will be held exceptionally **from 7:00 a.m. to 8:00 a.m.** for Listed B3 to allow participants to validate the return of their configurations to the infrastructure address at B3's main site.

Participation by all brokerage houses in this morning test is **mandatory**.

5. Other information

The activities to be performed during the test can be found in the script for the mock trading session in the contingency environment. Market data for these instruments will be sent during the mock trading session.

Participation in the mock trading session must be confirmed no later than **12 noon on October 31, 2025** (Friday), by emailing tradingsupport@b3.com.br, with the subject line "Business Continuity Test", identifying the institution, naming the individuals who will participate and supplying their contact details (telephone numbers and email addresses). In response to this confirmation, participants will receive the test script and must fill it out and send it to the above email addresses.

The sender of the completed script will receive from B3 a declaration of participation, which with the script can be used as evidence in audits that include business continuity.

All participants in the mock trading session must adjust their internal systems so that its results are completely segregated from those of actual trading sessions.

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For more information please contact our call centers.

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